

**SUSTAINABLE COMMUNITIES
PLANNING GRANT AND INCENTIVES PROGRAM**

GRANT GUIDELINES & APPLICATION

FUNDED BY

**THE SAFE DRINKING WATER, WATER QUALITY AND SUPPLY, FLOOD
CONTROL, RIVER AND COASTAL PROTECTION BOND ACT OF 2006**

PROPOSITION 84, CHAPTER 9



STATE OF CALIFORNIA

STRATEGIC GROWTH COUNCIL

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I. Introduction

The Strategic Growth Council requests submittal of Proposals for the Sustainable Communities Planning Grant and Incentive Program. Cities, counties, Metropolitan Planning Organizations (MPOs), Joint Powers Authorities (JPAs), Regional Transportation Planning Agencies (RTPAs), Councils of Governments (COGs), or combination thereof, are eligible to apply. It is anticipated that proposals funded under this solicitation will be not less than \$100,000 nor greater than \$1,000,000 each. The Council may consider higher grant awards for a joint proposal, an application submitted by one lead applicant with one or more eligible partner entities, coordinated by a planning activity(s) with defined shared outcomes. Partial funding may be considered to fully maximize grant awards.

The Sustainable Communities Planning Grant is funded by Proposition 84, the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006. It added Division 43 to the Public Resources Code, Chapter 9, Sustainable Communities and Climate Change Reduction Section 75065(a), authorizing the Legislature to appropriate \$90 million for planning grants and planning incentives that reduce energy consumption, conserve water, improve air and water quality, and provide other community benefits (*see Appendix B*).

SB 732 Steinberg, (Chapter 13, Statutes of 2008) established the Strategic Growth Council (Council). The Council is composed of agency secretaries from the Business Transportation and Housing Agency, California Health and Human Services Agency, California Environmental Protection Agency, the California Natural Resources Agency, the Director of the Governor's Office of Planning and Research, and a public member, appointed by the Governor (*see Appendix C*). Under Section 75127 and 75128 of SB 732, Council shall do all of the following: (a) identify and review activities and funding programs of member state agencies that may be coordinated to improve air and water quality, improve natural resource protection, meet the goals of the California Global Warming Solution Act of 2006, encourage sustainable land use planning, and revitalize urban and community centers in a sustainable manner; (b) recommend policies and investment strategies and priorities to the Governor, the Legislature, and to appropriate state agencies to encourage the development of sustainable communities, such as those communities that promote equity, strengthen the economy, protect the environment and promote public health and safety, and is consistent with subdivision (a) and (c) of Section 75065; (c) provide, fund, and distribute data and information to local governments and regional agencies that will assist in developing and planning sustainable communities; and (d) manage and award grants and loans to support the planning and development of sustainable communities.

II. Purpose and Goal

This grant program implements the vision of the Governor and Legislature to foster the development of sustainable communities throughout California. It is designed to help local governments meet the challenges of adopting land use plans and integrating strategies in order to transform communities and create long term prosperity. Sustainable communities shall promote equity, strengthen the economy, protect the environment and promote healthy, safe communities.

The primary goal of this grant program is to develop and implement plans that reduce greenhouse gas emissions and achieve the following objectives:

- improve air and water quality,
- promote public health,
- promote equity,
- increase housing affordability,

- increase infill and compact development,
- revitalize urban and community centers,
- protect natural resources and agricultural lands,
- reduce automobile usage and fuel consumption,
- improve infrastructure systems,
- promote water conservation,
- promote energy efficiency and conservation, and
- strengthen the economy.

These objectives are consistent with the State Planning Priorities, as defined by Government Code 65041.1 as well as Strategic Growth Council objectives.

III. Focusing Funds

At least twenty percent of each round of funding shall be prioritized for projects that target economically disadvantaged communities (EDC). Projects seeking EDC prioritized funds must show that the planning to be funded would be primarily or substantially within the geographic boundaries of an EDC. Applicants for an EDC set aside may also submit one additional non-EDC application. An EDC may be a community or neighborhood within a city or county. To the extent appropriate, applications for funding from the set-aside should be integrated and coordinated with the entity's application under the main program, if any.

The Council shall fund proposals that fall within the following three focus areas. Of the balance, the goal is to focus a minimum of 25% of funds in Focus Area # 1, a minimum of 25% in Focus Area # 2, and a minimum of 10% in Focus Area # 3.

Applications will generally be limited to a maximum of \$1 million and a minimum of \$100,000 per proposal. Partial funding may be considered to fully maximize grant awards. The Council may consider higher grant awards for a joint proposal (*see Glossary*) that is cooperative, scale-appropriate, and reflects the interdependence of environmental, economic community health, and other requirements.

Focus Area # 1: Local Sustainable Planning

Eligible Applicants: Cities and Counties

Intent: Support the development and implementation of effective and/or innovative local plans that support the state's AB 32 GHG emission reduction targets and implement SB 375, while creating sustainable communities.

Examples of Eligible Proposals: (including, but not limited to)

- Specific Plans/Infill Plans/Zoning Ordinances
- Climate Action Plans
- Targeted General Plan (*see Glossary*) Updates or Element
- Other implementation instruments and plans needed for successfully meeting AB 32 greenhouse gas emissions reduction and implement SB 375, while improving community-wide sustainability

Collaboration Requirement: A detailed explanation regarding how the proposal is consistent with its regions goals, including implementing SB 375. A letter from the regional entity concurring with the explanation may be one way to satisfy this collaboration requirement.

Focus Area # 2: Regional SB 375 Plus

Eligible Applicants: MPOs

Intent: Fund MPOs to collaborate with local governments to implement SB 375 and/or AB 32 at the city or county level, within the context of the three E's of sustainable communities: healthy environment and economy and equitable access to regional resources and amenities. The outcome of these efforts should support successful Sustainable Community Strategies that help meet the regional targets established by CARB.

Examples of Eligible Proposals: (including, but not limited to)

- Blueprint Plans
- Multi-jurisdictional corridor plans
- Transit Oriented Development (TOD) Plan
- Targeted General Plan Updates (*see Glossary*)
- Specific Plans or other planning processes
- Sustainable Community Strategy (SCS)
- Alternative Planning Strategy (APS)
- Natural Communities Conservation Plan (NPS)
- Regional Greenprint Plan (*see Glossary*)

Collaboration Requirement: Submittal of a joint work plan between local governments and MPOs and letters of intent to participate in proposed activities from local government partners.

Focus Area # 3: Regional Planning Activities with Multiple Partners

Eligible Applicants: Cities, Counties, RTPAs, JPAs and COGs.

Intent: Support collaboration between cities and/or counties, JPAs, COGs or RTPAs to work with local governments to develop or implement regional plans that meet the intent of SB 732.

Proposal Examples: (including, but not limited to)

- County wide Climate Action Plan
- Regional cap and trade plan or offset program
- Rural Blueprint Plans or plans that voluntarily implement SB 375.
- Interregional Plans

Collaboration Requirement: Submittal of a joint work plan by partners and letters of intent to participate in proposed activities from all partners.

IV. Threshold Requirements

Proposals must meet the threshold requirements below. Proposals that do not meet the following criteria will not be considered eligible for funding. This shall be at the sole discretion of the state.

1. Be consistent with (*see Glossary*) the State's Planning Priorities, in summary below, and identified in Section 65041.1 of the Government Code (*Appendix F*):
 - Promote infill development and invest in existing communities;
 - Protect, preserve and enhance environmental and agricultural lands and natural and recreational resources; and
 - Encourage location and resource efficient new development.
2. Reduce, on as permanent basis that is feasible, greenhouse gas emissions consistent with:
 - the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code) (*see Appendix D*), and
 - any applicable regional plan (*see Glossary*)
3. Meet the Collaboration Requirements of the focus area applicable to the Proposal (*See Section II*). Include all required documents in the application package.

V. Program Objectives

Proposals must achieve a variety of the objectives listed below and identify anticipated indicators that will be incorporated into the proposal to measure the success of the objective. The final report must quantify the projected outcomes (*see Glossary*), to the extent feasible.

- Improve Air and Water Quality;
- Promote Public Health;
- Promote Equity;
- Increase Housing Affordability;
- Promote Infill and Compact Development;
- Revitalize Urban and Community Centers;
- Protect Natural Resources and Agricultural Lands;
- Reduce Automobile Usage and Fuel Consumption;
- Improve Infrastructure Systems;
- Promote Water Conservation;
- Promote Energy Efficiency and Conservation; and
- Strengthen the Economy.

VI. Priority Considerations

Consistent with the goal of the program, the following areas have been identified as priorities in awarding grants. In evaluating proposals, the State may also consider factors including, but not limited to, geographic distribution of bond funds, environmental justice, and partial funding.

- Proposal demonstrates ongoing collaboration with state, regional and local, public and private stakeholders and community involvement;
- Proposal addresses climate change impacts;
- Proposal demonstrates strategies or outcomes that can serve as best practices for communities across the state;
- Proposal is leveraged with additional resources (in-kind or funds); and

- Proposal serves an economically disadvantaged community (see *Glossary*).

VII. Evaluation/ Scoring Criteria

All information submitted in the application package provides the grant review committee a basis for evaluation and should demonstrate how effectively the proposal meets Threshold Requirements, the Program Goal and Objectives and Priority Considerations. Proposals will be reviewed for completeness and eligibility. If judged eligible, the proposals will be scored and ranked by a grant committee. Eligible proposals receiving between sixty-five (65) and one hundred (100) points shall be considered for funding. Proposals will be scored on the following areas.

SCORING	
Program Objectives	0 - 60
➤ Scope and number of objectives addressed in the Proposal; ➤ Improve Air and Water Quality; ➤ Promote Public Health; ➤ Promote Equity; ➤ Increase Housing Affordability; ➤ Promote Infill and Compact Development; ➤ Revitalize Urban and Community Centers; ➤ Protect Natural Resources and Agricultural Lands; ➤ Reduce Automobile Usage and Fuel Consumption; ➤ Improve Infrastructure Systems; ➤ Promote Water Conservation; ➤ Promote Energy Efficiency and Conservation; and ➤ Strengthen the Economy. ➤ Indicators identified to measure the success of the objectives; ➤ Responses provided and supporting documents; and ➤ Proposal meets the intent of the applicable focus area.	
Priority Considerations	0-28
➤ Proposal demonstrates ongoing collaboration with state, regional and local, public and private stakeholders and community involvement; ➤ Proposal addresses climate change impacts; ➤ Proposal demonstrates strategies or outcomes can serve as best practices ➤ Proposal is leveraged with additional resources ➤ Proposal serves an economically disadvantaged community <u>OR</u> ➤ Severely disadvantaged community	5 5 5 5 8
Organizational Capacity	0-12
➤ Organization demonstrates the ability to complete the Proposal within the schedule and budget ➤ Identify in the work plan how the proposal will be implemented, including zoning updates if applicable.	
Total Available Points	100

VIII. Proposal Summary Statement

The Proposal Summary Statement should be **no longer than one full page**. The summary should describe:

- the need for the proposal; and
- how the Proposal achieves the intent of the applicable focus area;

IX. Proposal Description (Evaluation Questions)

The questions below are designed to solicit specific facts regarding how the proposal addresses the Threshold Eligibility Requirements, Program Objectives and Priority Considerations.

- Limit this section to **twelve (12)** numbered pages.
- Use 8 ½" x 11" papers with **11 point font, with one inch margins**.
- Neither the Proposal Summary Statement, nor supporting documents count as part of the twelve pages.

Step 1: Threshold Requirements

Clearly label and answer all of the questions below.

1. Describe how the Proposal is consistent with the State's Planning Priorities, Section 65041.1 of the Government Code.
 - a. Promote infill development and invest in existing communities;
 - b. Protect, preserve and enhance environmental and agricultural lands, and natural and recreational resources; and
 - c. Encourage location and resource efficient development
2. Describe how the Proposal will (*and include in work plan*) reduce, on as permanent a basis that is feasible, greenhouse gas emissions consistent with:
 - a. California's Global Warming Solutions Act of 2006
 - i. How will the Proposal reduce greenhouse emissions as compared with business as usual through 2020 and beyond?
 - ii. Identify the indicators that will be used to measure whether the Proposal will meet greenhouse gas emissions reduction targets or requirements?
 - b. Any applicable regional plan
 - i. Cite any applicable regional plan(s).
 - ii. Describe how your Proposal will be consistent with the greenhouse gas emission reduction strategies in the applicable regional plan(s).
3. Meet the Collaboration Requirements of the focus area applicable to the Proposal (*See Section II*).
 - a. See Section III, Focusing Funds, for the Collaboration Requirements applicable to the Proposal.

Step 2: Program Objectives

Identify and describe the Program Objectives projected to be achieved by the Proposal. *Appendix J* provides further guidance on questions that should be addressed in the Proposal Description to maximize points. Anticipated indicators (*see Glossary*) must be identified that will evaluate the overall success of achieving the Program Objectives.

☐	Improve Air and Water Quality
☐	Promote Public Health
☐	Promote Equity
☐	Increase Housing Affordability
☐	Promote Infill and Compact Development
☐	Revitalize Urban and Community Centers
☐	Protect Natural Resources and Agricultural Lands
☐	Reduce Automobile Usage and Fuel Consumption
☐	Improve Infrastructure Systems
☐	Promote Water Conservation
☐	Promote Energy Efficiency and Conservation
☐	Strengthen the Economy

Step 3: Priority Considerations

Answer the following questions, if applicable to the Proposal.

1. Proposal demonstrates ongoing collaboration with state, regional and local, public and private stakeholders and community involvement (*include in work plan*).
 - a. Describe tasks undertaken by all entities involved in the work plan.
 - b. Describe how other entities will be engaged in the development and/ or implementation of the Proposal (e.g., local governments, state entities, COGs, MPOs, transit agencies, health agencies, air districts, local businesses, landowners, general public, environmental groups, low income households and/ or groups that represent them, etc).
 - c. Describe how the community will be engaged in the planning process.
2. Proposal demonstrates strategies or outcomes that can serve as best practices (BPS) for communities across the state. *Note: proposals funded by the grant must be posted on web-site.*
 - a. Does the proposal include tools or processes that could be easily accessed and used by other government agencies to develop plans or strategies for sustainable communities?
 - b. How will your agency promote and share the Proposal's information, tools or processes?
3. Proposal is leveraged with additional resources, in-kind or funds. *Identify in Appendix L, Budget.*
 - a. Identify funding sources and amount already committed to the proposal and expected timing of funds. Detail whether funds are in the form of cash contributions, in-kind services, volunteer effort, donated labor or materials, technical expertise, etc.
 - b. Identify potential future funding sources and the amount expected to be committed to the proposal. Detail whether funds are in the form of cash contributions, in-kind services, volunteer effort, donated labor or materials, technical expertise, etc.
4. Proposal Addresses Climate Change Impacts.
 - a. Identify the potential climate change impacts on the population, or human or natural areas, or systems most vulnerable to those impacts within the planning area.
 - b. How does the proposal improve adaptation to the impacts for these populations, human or natural areas, or systems?
5. Proposal serves an economically disadvantaged community.

- a. How will this proposal specifically benefit a disadvantaged or severely disadvantaged community (see Glossary)?
- b. Discuss how the economically disadvantaged community has been and will continue to be engaged and participatory in the development of the proposal.

Step 4: Organizational Capacity

Answer the following questions:

1. What is your organization's experience in completing this type of Proposal or similar Proposals? Is the expertise needed for the successful development of the Proposal available within the organization? If not, how do you plan to acquire it?
2. Do you have active partners that will help develop the Proposal? How?
3. How will the Proposal be kept on schedule and within budget?
4. If the Proposal goes over budget, explain your contingency plan to cover the cost.
5. Identify in the work plan how the proposal will be implemented, including zoning updates if applicable.

X. Eligible Proposals

Eligible proposals include, but are not limited to, the development, update, adoption and/or implementation of a(n):

- Targeted General Plan Updates or Elements that incorporate the program goal and objectives throughout the General Plan or in a General Plan element);
- Specific Plans/Infill Plans/Zoning Ordinances;
- Climate Action Plans;
- Regional Plan;
- County wide Climate Action Plan;
- Regional cap and trade plan or offset program;
- Rural Blueprints plans or plans that voluntarily implement SB 375;
- Interregional Plans;
- Sustainable Community Strategies (SCS);
- Alternative Planning Strategy (APS);
- Natural Communities conservation Plan (NCCP); or
- Regional Greenprint Plan.

XI. Ineligible Proposals

Ineligible Proposals that will not be funded under this program include, but are not limited to, proposals that:

- Do not contain adequate documentation and applicable materials.
- Do not include objective(s) and indicator(s).
- Do not meet a minimum of 65 points.
- Request funding for any part of the CEQA process.
- Request funding for two proposals by the same lead applicant.

- Request funding for the same proposal under another focus area.
- Request funding for activities already funded by other grant program.

XII. Planning Grant Administration

Grant Selection Process

1. Applicants submit a complete grant proposal to the State by the deadline (one application submitted per entity).
2. Proposals are reviewed for completeness and eligibility. (Incomplete or ineligible Proposals may not be evaluated or considered for funding at the sole discretion of the state.)
3. Grant Committee (Committee) reviews proposals and makes recommendations for awards.
4. Strategic Growth Council approves the final proposals for awards.

General Overview of Grant Process after Grants are Awarded

1. State sends grant agreement/contract and materials to grantee.
 2. Grantee signs and returns all required copies back to the State (a fully executed copy will be returned to the Grantee). Upon the date of signature of the grant agreement, signed by both parties, the grantee has 36 months to complete proposal.
 3. Grantee commences preliminary work on the proposal and submits requests for reimbursements, as applicable.
 4. Periodic progress reports, at least two annually, are submitted and reviewed.
 5. Grantee completes proposal and submits proposal completion packet (to be provided under separate cover).
 6. State receives proposal completion packet (including final report) and approves final payment.
 7. The grant may be audited as needed during the course of the proposal and for three years after the grant is completed.
- * Awards are contingent upon legislative appropriation of funds and sale of bond.

Changes to Approved Proposal

A grantee wishing to make changes or amendments to an approved proposal must first obtain approval from the State. Changes in the Proposal must continue to meet the requirements of the grant as approved. The grantee jeopardizes funding if changes are made without State approval.

Eligible Costs

Direct related costs, including staff to implement, incurred during the performance period specified in the grant agreement will be eligible for reimbursement. All eligible costs must be supported by appropriate documentation. Costs incurred outside of the performance period and indirect costs are not eligible (*see Glossary*).

Payment of Grant Funds

Funds cannot be disbursed until there is a fully executed grant agreement between the State and the Grantee. Work done prior to a fully executed grant agreement will not be funded.

- Payments will be made on a reimbursement basis (i.e., the grantee pays for services or staff and is then reimbursed by the State).
- Fifteen percent (15 percent) of the amounts submitted for reimbursement will be withheld and issued as a final payment upon proposal completion, at the sole discretion of the state.
- Advanced payments for proposal are not allowed.

Loss of Funding

The following are examples of actions that may result in a Grantee's loss of funding. This is not a complete list:

- Grantee fails to obtain a Grant Agreement.
- Grantee withdraws from the grant program.
- Grantee fails to complete the funded proposal.
- Grantee fails to complete a proposal that meets the requirements agreed upon.
- Grantee fails to submit all documentation within the time periods specified in the grant agreement.
- Grantee changes proposal scope without approval of the State/doesn't meet intent of award.
- Grantee changes the sub-recipient or entity(s) identified in the work plan or application without approval from the state.

State Audit and Accounting Requirements

Audit Requirements

Funded proposals are subject to audit by the State of California at least annually and for three (3) years following the final payment of grant funds. If the proposal is selected for audit, advance notice will be given. The audit shall include all books, papers, accounts, documents, or other records of the grantee, as they relate to the proposal for which the funds were granted.

The grantee must have the proposal records, including the source documents and evidence of payment, readily available, and provide an employee with knowledge of the project to assist the auditor. The grantee must provide a copy of any document, paper, record, or the like, requested by the auditor.

Accounting Requirements

The grantee must maintain an accounting system that:

- Accurately reflects fiscal transactions, with the necessary controls and safeguards.
- Provides a good audit trail, including original source documents such as purchase orders, receipts, progress payments, invoices, time cards, canceled checks, etc.
- Provides accounting data so the total cost of each individual proposal can be readily determined.

Records Retention

Proposal records must be retained for a period of three (3) years after final payment is made by the State. All proposal records must be retained by the grantee at least one (1) year following an audit. Grantees are required to keep source documents for all expenditures related to each grant for at least three (3) years following proposal completion and one year following an audit. A proposal is considered complete upon receipt of final grant payment from the State.

APPENDIX A- GLOSSARY

Unless otherwise stated, the terms used in these grant guidelines have the following meanings:

AB 32 (Chapter 488, 2006): the California Global Warming Solutions Act of 2006 establishes a comprehensive program of regulatory and market mechanisms to achieve real, quantifiable, cost-effective reductions of greenhouse gases. It codifies in the Health and Safety Code declarations about the serious threats posed by global warming and the intent of the Legislature to ensure coordination among state agencies and all affected stakeholders in the development of regulations to implement this law. *See Appendix C*

Applicant: an eligible organization requesting funding from this program to be administered by the state. Eligible applicants include a cities, counties, Metropolitan Planning Organizations (MPOs), Joint Powers Authorities (JPAs), Regional Transportation Planning Agencies (RTPAs), Council of Governments (COGs), or combination.

Bond or Bond Act: Proposition 84, the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006. *See Appendix B*

Census Designated Place (CDP): a census geography used by the U. S. Census Bureau that is a statistical entity, defined for each decennial census according to Census Bureau guidelines, comprising a densely settled concentration of population that is not within an incorporated place, but is locally identified by a name. CDPs are delineated cooperatively by state and local officials and the Census Bureau, following Census Bureau guidelines.

Consistent With: compatible with, not contradictory to, *or* in agreement with. In this program, a Proposal must be “consistent with” state planning priorities as stated in Government Code 65041.1 (*see Appendix E*), regional plans (*see Glossary*) and identified state adopted plans (*see Appendix N*).

Council: Strategic Growth Council established pursuant to SB 732 (Steinberg, Chapter 13, Statutes of 2008).

Disadvantaged Community: a community with a median household income less than 80 percent of the statewide average. “Severely disadvantaged community” means a community with a median household income less than 60 percent of the statewide average. Applicants may use California State Parks’ Community Fact Finder to pinpoint the Proposal service area and determine its median household income (MHI):

<http://www.parkinfo.org/caparks/grantee>

Environmental Justice: the fair treatment of people of all races, physical and cognitive abilities, cultures and income with respect to the development, adoption, implementation, and enforcement of environmental laws, regulations and policies.

Equity: considering both people and place. A competitive and inclusive region is one in which members of all racial, ethnic, and income groups have opportunities to live and work in all parts of the region, have access to living wage jobs and are included in the mainstream of regional life. It is also one in which all neighborhoods are supported to be vibrant places with choices for affordable housing, good schools, access to open space, decent transit that connects people to jobs, and healthy and sustainable environments.

Fund or Funds: monies authorized from the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund 2006

Grant Administrator: an employee of the State who manages the grants.

Grant Agreement: a contractual arrangement between the State and grantee specifying the payment of funds by the State for the development of the Proposal by the grantee.

Grant Performance Period: the beginning and ending dates of the Grant Agreement. Eligible costs incurred during this period may be funded from the grant. No Proposal should exceed 36 months.

Grantee: an applicant that has a signed agreement for grant funding with the State.

Greenhouse Gases: include, but are not limited to, carbon dioxide, methane, nitrous oxide, hydro fluorocarbons, perfluorocarbons, and sulfur hexafluoride.

Healthy Community: *See Appendix F for a detailed description*

Implementation of a General Plan: an action, procedure, program, or technique that carries out general plan policy and relies primarily upon regulations, such as specific plans, the zoning ordinance, subdivision ordinances, and public project consistency requirements. Each general plan policy must have at least one corresponding implementation measure. Examples of implementation measures: (1) the city shall use tax-increment financing to pay the costs of replacing old sidewalks in the redevelopment area. (2) The city shall adopt a specific plan for the industrial park. (3) Areas designated by the land use element for agriculture shall be placed in the agricultural zone.

In-Kind Contributions: non-cash donations, from governmental or private sources, and includes volunteers, materials and services.

Indirect/Overhead Costs: expenses of doing business that are of a general nature and are incurred to benefit at least two or more functions within an organization. These costs are not usually identified specifically with a grant, grant agreement, plan or activity, but are necessary for the general operation of the organization. Examples of indirect costs include salaries and benefits of employees not directly assigned to a Proposal; functions such as personnel, business services, information technology, and salaries of supervisors and managers; and overhead such as rent, utilities, supplies, etc.

Indicator: are specific data points which will be used to quantify outcomes. *See Appendix I for examples of indicators.*

Infrastructure: may include but is not limited to: transportation modes including walking and biking; housing supply or affordability; energy generation or transmission; water supply or conveyance; water treatment; trees or other vegetation; parks, open space and other public spaces, solid waste systems; liquid waste systems; or communications systems such as broadband access.

Joint Proposal: a application submitted by one lead applicant with one or more eligible partner entities located adjacent to, or within, the lead applicant's jurisdiction. A single budget must be submitted by the lead applicant. Budget must describe the funds that will be distributed to partners and identify general activities for which they are used.

Local City Health Officers: Each county and the cities of Berkeley, Pasadena, and Long Beach have appointed health officers. These officials have broad authority to take measures as may be necessary to preserve and protect the public health including, if indicated, the adoption of ordinances, regulations and orders not in conflict with general laws. (Health and Safety Code § 101000-101030). Local health officers and health departments are participating increasingly in land use planning to ensure that all neighborhoods in California promote the opportunity to live a safe, healthy life. *See Appendix O to access more information.*

Milestones: a scheduled event signifying the completion of a major deliverable or a set of related activities.

Natural Resources: the materials and functions that comprise the natural wealth of an area's ecosystems, including, but not limited to the plants, animals, minerals, air, water, and soil. Among these functions are watershed catchment, wildlife migration and habitation, forestry, grazing, and crop production. Of particular importance for complex, large scale natural resources functions are lands that flood, lands that are farmed, lands dedicated to open space, lands designated for mineral extraction, greenbelts, parks, and trails, and lands valued for their aesthetics.

Objective: a high level focus or approach to achieve Sustainable Communities requirements and priorities. This allows flexibility for an entity to focus on issues that are important to its community and have the most beneficial results. For example, to address the requirement to conserve water, one entity may want to focus on reduction of commercial water usage because a larger percentage of its water is used by that sector. Another entity may want to focus on reduction of outdoor residential water usage because it would result in significant water savings.

Other Sources of Funds: cash or in-kind contributions that are required or used to complete the Proposal beyond the grant funds provided by this program.

Outcome: using indicators (data points) quantify the results from the deliverable. This should be projected as a percentage, ratio or number that reflects improvement over your base line. For example, 20% decrease in water usage. Actual numbers will be required when deliverable is completed and in the final report. *See Appendix I for examples.*

Planning Instrument: any plan or ordinance adopted by a Council of Government (COG), Metropolitan Planning Organization (MPO), Regional Transportation Planning Agency (RTPA), Joint Powers Authority (JPA), City, or County that implements or incorporates the goals and objectives of the Sustainable Communities Planning Grant and Incentives Program. Planning instruments must be consistent with a regional plan, when applicable, and support the creation of sustainable communities. These planning instruments include, but are not limited to:

- Specific Plans
- General Plans

- Climate Action Plans
- Zoning Codes
- Redevelopment Plans
- Master Plans (i.e. Bicycle Master Plans, Port Master Plans, Sustainability Master Plans)

Preparation and Adoption of a General Plan: the process for developing a comprehensive, long-term general plan for the physical development of the county or city, which is consistent with the intent of (Proposition 84), SB 732 and the requirements of this Section 75065 of the Public Resources Code and the requirements of this RFP, that is formally approved by the legislative body of a county or city; as well as preparation and adoption of an individual element or any other revision of a General Plan meeting the intent of Section 75065 of Proposition 84 , SB 732 and the requirements of this RFP.

Proposition 84: - See “Bond”

Regional Greenprint Plan: a plan that identifies strategically planned and managed networks of natural lands, working landscapes (such as farmland, ranchland and working forests), recreational and other open spaces that conserve ecosystem values and functions, provide associated benefits to human populations and is integrated into community and regional growth strategies.

Regional Plan: either of the following: 1) A long-range transportation plan developed pursuant to Section 134(g) of Title 23 of the United States Code and any applicable state requirements, OR 2) A regional blueprint plan, which is a regional plan that implements statutory requirements intended to foster comprehensive planning as defined in Section 65041.1 of, Chapter 2.5 (commencing with Section 65080) of Division 1 of title 7 of, and Article 10.6 (commencing with Section 65580) of Chapter 3 of Division 1 of Title 7 of, the Government Code.

Revitalize Urban Areas and Community Centers: for the purpose of this grant program, the rejuvenation and promotion of reinvestment in a district of a city, county or community, usually typified by a concentration of uses including residential, retail and commercial buildings, and public spaces.

Specific Plan: a tool for implementing the general plan but is not part a General Plan. A specific plan can combine policy statements with development regulations (§65450, et seq.). It is often used to address the development requirements for a single project such as urban infill or a planned community. Its emphasis is on specific standards and development criteria. A specific plan may be adopted either by resolution or by ordinance. Specific plans must be consistent with all facets of the General Plan.

State: the Strategic Growth Council or its representative.

Strategy: an approach(es) to achieve an objective. Strategies should indicate the areas you will focus on, to achieve the objective(s). For example, to improve water conservation the strategy (approach) might be to focus on agriculture water usage. Another example might be to increase water recycling in all sectors.

Sub-recipient: an entity that will participate in the proposal submitted by the applicant. Sub-recipients must be included in the work plan and budget form. The lead applicant submits invoices on behalf of the sub-recipient. The State pays the lead applicant, who then pays the sub-recipient.

Targeted General Plan Update: a general plan update that incorporates elements that achieve the program goal and focuses on specific program objectives.

APPENDIX B- PROPOSITION 84
(Chapter 9, Sustainable Communities and Climate Change Reduction)

CALIFORNIA CODES
PUBLIC RESOURCES CODE
SECTION 75065-75066

75065.

The sum of five hundred eighty million dollars (\$580,000,000) shall be available for improving the sustainability and livability of California's communities through investment in natural resources. The purposes of this chapter include reducing urban communities' contribution to global warming and increasing their adaptability to climate change while improving the quality of life in those communities. Funds shall be available in accordance with the following schedule:

(a) The sum of ninety million dollars (\$90,000,000) shall be available for urban greening projects that reduce energy consumption, conserve water, improve air and water quality, and provide other community benefits. Priority shall be given to projects that provide multiple benefits, use existing public lands, serve communities with the greatest need, and facilitate joint use of public resources and investments including schools. Implementing legislation shall provide for planning grants for urban greening programs. Not less than \$20,000,000 shall be available for urban forestry projects pursuant to the California Urban Forestry Act, Chapter 2 (commencing with Section 4799.06) of Part 2.5 of Division 1.

(b) The sum of four hundred million dollars (\$400,000,000) shall be available to the Department of Parks and Recreation for competitive grants for local and regional parks. Funds provided in this subdivision may be allocated to existing programs or pursuant to legislation enacted to implement this subdivision, subject to the following considerations:

(1) Acquisition and development of new parks and expansion of overused parks that provide park and recreational access to underserved communities shall be given preference.

(2) Creation of parks in neighborhoods where none currently exist shall be given preference.

(3) Outreach and technical assistance shall be provided to underserved communities to encourage full participation in the program or programs.

(4) Preference shall be given to applicants that actively involve community based groups in the selection and planning of projects.

(5) Projects will be designed to provide efficient use of water and other natural resources.

(c) The sum of ninety million dollars (\$90,000,000) shall be available for planning grants and planning incentives, including revolving loan programs and other methods to encourage the development of regional and local land use plans that are designed to promote water conservation, reduce automobile use and fuel consumption, encourage greater infill and compact development, protect natural resources and agricultural lands, and revitalize urban and community centers.

75066.

Appropriation of the funds provided in subdivisions (a) and (c) of Section 75065 may only be made upon enactment of legislation to implement that subdivision.

APPENDIX C- SB 732
**(The Safe Drinking Water, Water Quality and Supply, Flood Control,
River and Coastal Protection Act of 2006)**

BILL NUMBER: SB 732 CHAPTERED
BILL TEXT

CHAPTER 729
FILED WITH SECRETARY OF STATE SEPTEMBER 30, 2008
APPROVED BY GOVERNOR SEPTEMBER 30, 2008
PASSED THE SENATE AUGUST 31, 2008
PASSED THE ASSEMBLY AUGUST 28, 2008
AMENDED IN ASSEMBLY AUGUST 22, 2008
AMENDED IN ASSEMBLY AUGUST 18, 2008
AMENDED IN ASSEMBLY SEPTEMBER 7, 2007
AMENDED IN ASSEMBLY AUGUST 31, 2007
AMENDED IN ASSEMBLY JUNE 28, 2007
AMENDED IN ASSEMBLY JUNE 27, 2007
AMENDED IN SENATE JUNE 4, 2007
AMENDED IN SENATE MAY 25, 2007
AMENDED IN SENATE MAY 15, 2007
AMENDED IN SENATE APRIL 17, 2007

INTRODUCED BY Senator Steinberg
(Principal coauthor: Senator Negrete McLeod)
(Coauthor: Senator Wiggins)

FEBRUARY 23, 2007

An act to amend Sections 75076 and 75077 of, and to add Chapter 12 (commencing with Section 75100) and Chapter 13 (commencing with Section 75120) to Division 43 of, the Public Resources Code, relating to the environment, and making an appropriation therefore.

LEGISLATIVE COUNSEL'S DIGEST

SB 732, Steinberg. Environment.
The Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006, an initiative statute approved by the voters at the November 7, 2006, statewide general election makes about \$5.4 billion in bond funds available for safe drinking water, water quality and supply, flood control, natural resource protection, and park improvements. This bill would require the State Department of Public Health, the Department of Fish and Game, and the San Francisco Bay Area Conservancy, when implementing

the provisions of the initiative, among other things, to develop and adopt guidelines and regulations, consult with other entities, conduct studies, and follow certain procedures for establishing a project, grant, loan, or other financial assistance program implementing the initiative.
The bill would establish the Strategic Growth Council and would appropriate \$500,000 from the funding provided by the initiative to the Resources Agency to support the council and its activities. The bill would require the council to take certain actions with regard to coordinating programs of member state agencies to improve air and water quality, improve natural resource protection, increase the availability of affordable housing, improve transportation, meet the goals of the California Global Warming Solutions Act of 2006, encourage sustainable land use planning, and revitalize urban and community centers in a sustainable manner. The council would be required to manage and award grants and loans to support the planning and development of sustainable communities, for preparing, adopting, and implementing general plans, general plan elements, regional plans, or other planning instruments, and for preparing, planning, and implementing urban greening plans. The council would also be required to, not later than July 1, 2010, and every year thereafter, provide a report to the Legislature with specified information regarding the management of the grants and loans. The bill would provide that in any case in which the provisions of the bill and the initiative conflict, the initiative shall prevail.

Appropriation: yes.

THE PEOPLE OF THE STATE OF CALIFORNIA DO ENACT AS FOLLOWS:

SECTION 1. Section 75076 of the Public Resources Code is amended to read:
75076. Chapter 3.5 (commencing with Section 11340) of Part 1 of Division 3 of Title 2 of the Government Code does not apply to the development and adoption of program guidelines and selection criteria adopted pursuant to this division.
SEC. 2. Section 75077 of the Public Resources Code is amended to read:
75077. Funds provided pursuant to this division, and any appropriation or transfer of those funds, shall not be deemed to be a transfer of funds for the purposes of Chapter 9 (commencing with Section 2780) of Division 3 of the Fish and Game Code.
SEC. 3. Chapter 12 (commencing with Section 75100) is added to Division 43 of the Public Resources Code, to read: CHAPTER 12. IMPLEMENTATION PROVISIONS

75100. (a) (1) Each state agency disbursing a competitive grant pursuant to this division shall develop project solicitation and evaluation guidelines. The guidelines may include a limitation on the size of a competitive grant to be awarded. (2) Prior to disbursing a competitive grant, each state agency shall conduct at least one public meeting to consider public comments prior to finalizing the guidelines. Each state agency shall publish the draft solicitation and evaluation guidelines on its Internet Web site at least 30 days before the public meetings. Meetings shall be held at geographically appropriate locations. Upon adoption, each state agency shall transmit copies of the guidelines to the fiscal committees and the appropriate policy

committees of the Legislature. To the extent feasible, each state agency shall provide outreach to disadvantaged communities to promote access and participation in those meetings. (3) The guidelines may include a requirement for the applicant to illustrate an ongoing commitment of financial resources, unless the purposes of awarding a grant financed by this division is to assist a disadvantaged community.

(4) The guidelines shall require a new grant solicitation for each funding cycle. Each funding cycle shall consider only those applications received as a part of the solicitation for that funding cycle.

(b) Notwithstanding subdivision (a), a state agency, in lieu of adopting guidelines pursuant to subdivision (a), may use guidelines existing on January 1, 2007, and those guidelines as periodically amended thereafter.

75101. (a) For the purposes of implementing Section 75025, the State Department of Public Health shall do all of the following:

(1) Develop guidelines pursuant to Section 75100 in collaboration with the Department of Toxic Substances Control and the state board.

(2) In collaboration with the Department of Toxic Substances Control and the state board, develop and adopt regulations governing the repayment of costs that are subsequently recovered from parties responsible for the contamination.

(b) For the purposes of implementing subdivision (a) of Section 75050, the Department of Fish and Game, when funding a natural community conservation plan, shall fund only the development of a natural community conservation plan that is consistent with the Natural Community Conservation Planning Act (Chapter 10 (commencing with Section 2800) of Division 3 of the Fish and Game Code).

(c) The San Francisco Bay Area Conservancy may use the funds made available pursuant to subdivision (c) of Section 75060 to restore the salt ponds in the south San Francisco Bay and to create trails and visitor facilities for public use in that area.

75102. Before the adoption of a negative declaration or environmental impact report required under Section 75070, the lead agency shall notify the proposed action to a California Native American tribe, which is on the contact list maintained by the Native American Heritage Commission, if that tribe has traditional lands located within the area of the proposed project.

75103. It is the intent of the Legislature that any public funds made available by this division to investor-owned utilities regulated by the Public Utilities Commission should be for the benefit of the ratepayers or the public and not the investors pursuant to oversight by the Public Utilities Commission.

75104. State agencies that are authorized to award a loan or grant financed by this division shall provide technical assistance with regard to the preparation of an application for a loan or grant in a manner that, among other things, addresses the needs of economically disadvantaged communities.

SEC. 4. Chapter 13 (commencing with Section 75120) is added to Division 43 of the Public Resources Code, to read:

CHAPTER 13. STRATEGIC GROWTH COUNCIL AND CLIMATE CHANGE REDUCTION

75120. For purposes of this chapter, the following definitions shall apply:

(a) "Council" means the Strategic Growth Council established pursuant to Section 75121.

(b) "Regional plan" means either of the following:

(1) A long-range transportation plan developed pursuant to Section 134(g) of Title 23 of the United States Code and any applicable state requirements.

(2) A regional blueprint plan, which is a regional plan that implements statutory requirements intended to foster comprehensive planning as defined in Section 65041.1 of, Chapter 2.5 (commencing with Section 65080) of Division 1 of Title 7 of, and Article 10.6 (commencing with Section 65580) of Chapter 3 of Division 1 of Title 7 of, the Government Code. A regional blueprint plan articulates regional consensus and performance outcomes on a more efficient land use pattern that supports improved mobility and reduces dependency on single-occupancy vehicle trips; accommodates an adequate supply of housing for all income levels; reduces impacts on valuable farmland, natural resources, and air quality, including the reduction of greenhouse gas emissions, increases water and energy conservation and efficiency; and promotes a prosperous economy and safe, healthy, sustainable, and vibrant neighborhoods.

75121. (a) The Strategic Growth Council is hereby established in state government and it shall consist of the Director of State Planning and Research, the Secretary of the Resources Agency, the Secretary for Environmental Protection, the Secretary of Business, Transportation and Housing, the Secretary of California Health and Human Services, and one member of the public to be appointed by the Governor. The public member shall have a background in land use planning, local government, resource protection and management, or community development or revitalization.

(b) Staff for the council shall be reflective of the council's membership.

75122. The members of the council shall elect a chair of the council every two years.

75123. (a) The council's meetings shall be open to the public and shall be subject to the Bagley-Keene Open Meeting Act (Article 9 (commencing with Section 11120) of Chapter 1 of Part 1 of Division 3 of Title 2 of the Government Code). (b) The council may sponsor conferences, symposia, and other public forums, to seek a broad range of public advice regarding local, regional, and natural resource planning, sustainable development, and strategies to reduce and mitigate climate change.

75124. Of the funds made available pursuant to subdivisions (a) and (c) of Section 75065, the sum of five hundred thousand dollars (\$500,000) is hereby appropriated to the Resources Agency to be used in support of the council and its activities in accordance with this chapter.

75125. The council shall do all of the following:

(a) Identify and review activities and funding programs of member state agencies that may be coordinated to improve air and water quality, improve natural resource protection, increase the availability of affordable housing, improve transportation, meet the goals of the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), encourage sustainable land use planning, and revitalize urban and community centers in a sustainable manner. At a minimum, the council shall review and comment on the five-year infrastructure plan developed pursuant to Article 2 (commencing with Section 13100) of Chapter 2 of Part 3 of Division 3 of the Government Code and the State Environmental Goals and Policy Report developed pursuant to Section 65041 of the Government Code.

(b) Recommend policies and investment strategies and priorities to the Governor, the Legislature, and to appropriate state agencies to encourage the development of sustainable communities, such as those communities that promote equity, strengthen the economy, protect the environment, and promote public health and safety, and is consistent with subdivisions (a) and (c) of Section 75065.

(c) Provide, fund, and distribute data and information to local governments and regional agencies that will assist in developing and planning sustainable communities.

(d) Manage and award grants and loans to support the planning and development of sustainable communities, pursuant to Sections 75127, 75128, and 75129. To implement this subdivision, the council may do all of the following:

(1) Develop guidelines for awarding financial assistance, including criteria for eligibility and additional consideration.

(2) Develop criteria for determining the amount of financial assistance to be awarded. The council shall award a revolving loan to an applicant for a planning project, unless the council determines that the applicant lacks the fiscal capacity to carry out the project without a grant. The council may establish criteria that would allow the applicant to illustrate an ongoing commitment of financial resources to ensure the completion of the proposed plan or project.

(3) Provide for payments of interest on loans made pursuant to this article. The rate of interest shall not exceed the rate earned by the Pooled Money Investment Board.

(4) Provide for the time period for repaying a loan made pursuant to this article.

(5) Provide for the recovery of funds from an applicant that fails to complete the project for which financial assistance was awarded. The council shall direct the State Controller to recover funds by any available means.

(6) Provide technical assistance for application preparation.

(7) Designate a state agency or department to administer technical and financial assistance programs for the disbursing of grants and loans to support the planning and development of sustainable communities, pursuant to Sections 75127, 75128, and 75129.

(e) No later than July 1, 2010, and every year thereafter, provide a report to the Legislature that shall include, but is not limited to, all of the following:

(1) A list of applicants for financial assistance.

(2) Identification of which applications were approved.

(3) The amounts awarded for each approved application.

(4) The remaining balance of available funds.

(5) A report on the proposed or ongoing management of each funded project.

(6) Any additional minimum requirements and priorities for a project or plan proposed in a grant or loan application developed and adopted by the council pursuant to subdivision (c) of Section 75216.

75126. (a) An applicant shall declare, in the application submitted to the council for financial assistance for a plan or project pursuant to this chapter, the applicant's intention to follow a detailed budget and schedule for the completion of the plan or project. The budget and schedule shall be of sufficient detail to allow the council to assess the progress of the applicant at regular intervals.

(b) A project or plan funded pursuant to this chapter shall meet both of the following criteria:

(1) Be consistent with the state's planning policies pursuant to Section 65041.1 of the Government Code.

(2) Reduce, on as permanent a basis that is feasible, greenhouse gas emissions consistent with the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), and any applicable regional plan.

(c) The council may develop additional minimum requirements and priorities for a project or plan proposed in a grant and loan application, including those related to improving air quality.

75127. (a) To support the planning and development of sustainable communities, the council shall manage and award financial assistance to a city or county for preparing, adopting, and implementing a general plan or general plan element that is designed to reduce greenhouse gas emissions, promote water conservation, reduce automobile use and fuel consumption, encourage greater infill and compact development, protect natural resources and agricultural lands, and revitalize urban and community centers.

(b) For the purposes of this section, the preparation and adoption of a general plan may include a comprehensive update of a general plan, amendment or adoption of an individual element of a general plan, or any other revision consistent with the intent of Section 75065.

(c) For the purposes of this section, the implementation of a general plan may include amendment or adoption of a specific plan, community plan, zoning ordinance, or any other plan, ordinance, or policy that is consistent with the intent of Section 75065.

(d) The funding provided pursuant to this section for the preparation, adoption, and implementation of a general plan may also include funding any activity necessary to conform a general plan to a regional plan.

75128. (a) To support the planning and development of sustainable communities, the council shall manage and award financial assistance to a council of governments, metropolitan planning organization, regional transportation planning agency, city, county, or joint powers authority, to develop, adopt or implement a regional plan or other planning instrument consistent with a regional plan that improves air and water quality, improves natural resource protection, increases the availability of affordable housing, improves transportation, meets the goals of the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), and encourages sustainable land use. The financial assistance

provided pursuant to this section shall be funded from moneys made available pursuant to subdivision (c) of Section 75065.

(b) In awarding financial assistance pursuant to this section, the council shall give first priority to an application seeking funding to add or enhance elements of a regional plan that are not funded with federal moneys.

75129. (a) To support the planning and development of sustainable communities, the council shall manage and award financial assistance to a city, county, or nonprofit organization for the preparation, planning, and implementation of an urban greening project that provides multiple benefits, including, but not limited to, a decrease in air and water pollution, a reduction in the consumption of natural resources and energy, an increase in the reliability of local water supplies, or an increased adaptability to climate change. An eligible project funded pursuant to this section shall not include a mitigation action that is required under existing law. The financial assistance provided pursuant to this section shall be funded from moneys made available pursuant to subdivision (a) of Section 75065.

(b) The council shall develop minimum requirements for funding eligible projects pursuant to this section, which shall require a project to meet at least one of the following criteria:

(1) Use natural systems, or systems that mimic natural systems, to achieve the benefits identified in subdivision (a).

(2) Create, enhance, or expand community green spaces.

(c) The multiple benefits of a project, may include, but are not limited to, the establishment or enhancement of one or more of the following:

(1) Tree canopy.

(2) Urban forestry.

(3) Local parks and open space.

(4) Greening of existing public lands and structures, including schools.

(5) Multi-objective stormwater projects, including construction of permeable surfaces and collection basins and barriers.

(6) Urban streams, including restoration.

(7) Community, demonstration, or outdoor education gardens and orchards.

(8) Urban heat island mitigation and energy conservation efforts through landscaping and green roof projects.

(9) Nonmotorized urban trails that provide safe routes for both recreation and travel between residences, workplaces, commercial centers, and schools.

(d) The council shall give additional consideration to a funding project pursuant to this section that meets one or more of the following criteria:

(1) The project uses interagency cooperation and integration.

(2) The project uses existing public lands and facilitates use of public resources and investments including schools.

(3) The project is proposed by an economically disadvantaged community.

(e) Up to 25 percent of the moneys allocated pursuant to subdivision (a) of Section 75065 may be used to award revolving loans or grants to a council of governments, countywide authority, a metropolitan planning organization, local government, or nonprofit organization, for the purpose of creating urban greening plans that will serve as the master document guiding and coordinating greening projects in the applicant's jurisdiction. These urban greening plans shall be consistent with the jurisdiction's general plan or regional plan, where one exists.

75130. This chapter does not authorize the council to take an action with regard to the exercise of a local government's land use permitting authority.

SEC. 5. In any case in which any of the provisions of this act, and Division 43 (commencing with Section 75001) of the Public Resources Code conflict, that division shall prevail.

SEC. 6. The provisions of this act are severable. If any provision of this act or its application is held invalid, that invalidity shall not affect other provisions or applications that can be given effect without the invalid provision or application.

APPENDIX D- AB 32 GOALS FOR LOCAL GOVERNMENT

(Chapter 488, Statutes of 2006)

A Proposal funded by Proposition 84 planning grants must reduce GHG emissions, as feasible, consistent with AB 32 (HSC section 75126(b)(2)) and must be consistent with a regional plan that, among other things, meets the goals of AB 32 (HSC section 75128(a)). The basic goals of AB 32 are to reduce GHG emissions to 1990 levels by 2020 (HSC section 38550), and to maintain and continue reductions in emissions of GHGs beyond 2020 (HSC section 38551).

Local and regional planning agencies can help California meet the goals of AB 32 in a number of ways, including but not limited to, reducing GHG emissions from regional and local government municipal operations, and implementing changes to land use patterns and transportation infrastructure to reduce vehicle travel (see Scoping Plan, pp. 26-27). More specifically, local and regional governments can reduce greenhouse gas emissions through actions in the following areas: (1) municipal and community energy use, (2) municipal and community waste reduction and recycling operations, (3) community-wide water conservation and water reclamation, (4) urban greening and forests, (5) improvements to community transportation systems that encourage low carbon transportation options, and (6) community land use policies that encourage energy efficiency and reduce vehicle travel (see Scoping Plan, Appendix C, pp. C-52 and 53). A local government or regional planning agency may chose to employ a variety of approaches, individually or in combination, to reduce GHG emissions from a particular sector of the community or from the community as a whole. The following table provides examples of types of actions that local governments may take to reduce greenhouse gas emissions.

APPENDIX E- SCOPING PLAN

(Local Government Initiatives)

Local Government Initiatives	
Measure	Local Government (LG) Actions
Local Gov't Actions	• Set local GHG reduction goals by partnering with special districts that provide services within jurisdictions • Develop Climate Action Plans, or other comprehensive approach to reduce GHG emissions • Adopt Best Practices
Energy Efficiency	• Increase Utility Energy Efficiency Programs (either as municipal owners or partnership with local utilities) – New targets would be set for statewide energy demand reductions. These reductions could be achieved through enhancements to existing programs such as increased incentives. • Reduce energy consumption and install solar water heating systems within LG owned/operated facilities and operations
Renewable Portfolio Standard	• Achieve 33% renewables portfolio standard for LG owned utility (i.e., 33% of energy generation must come from renewable energy sources)
Green Buildings	• Facilitate green building construction, renovation, operation and maintenance of green buildings at LG owned/operated facilities • Implement the State adopted green building code (effective 2010) and provide training to local architects, engineers and developers • Site buildings close to public transportation and services, and providing amenities that encourage walking and cycling, offer further GHG reducing potential
Recycling and Waste	• Adopt Zero Waste and Environmentally Preferable Purchasing policies • Increase diversion from landfills (commercial recycling and compost/purchase of compost) • Control landfill methane emissions (for jurisdictions that own/operate landfill)
High GWP Gases	• Minimize/eliminate motor vehicle A/C refrigerant leakage through proper maintenance in fleet vehicles • Ensure proper handling/disposal of waste refrigeration units (through solid waste hauler)
Sustainable Forests	• Encourage land-use decisions that conserve forest lands
Water	• Improve municipal water system energy efficiency/usage • Increase water recycling • Reuse urban runoff
Land-Use/Planning	• Participate in regional planning efforts and ensure land-use/transportation plans and decisions conform with sustainable communities strategies (SB 375) • Incorporate GHG reduction measures in General Plan, including funding and promotion of local transit systems, bike/walk infrastructure, local parking policies, car sharing, etc.

APPENDIX F- STATE PLANNING PRIORITIES
(Government Code 65041.1)

65041.1. The state planning priorities, which are intended to promote equity, strengthen the economy, protect the environment, and promote public health and safety in the state, including in urban, suburban, and rural communities, shall be as follows:

(a) To promote infill development and equity by rehabilitating, maintaining, and improving existing infrastructure that supports infill development and appropriate reuse and redevelopment of previously developed, underutilized land that is presently served by transit, streets, water, sewer, and other essential services, particularly in underserved areas, and to preserving cultural and historic resources.

(b) To protect environmental and agricultural resources by protecting, preserving, and enhancing the state's most valuable natural resources, including working landscapes such as farm, range, and forest lands, natural lands such as wetlands, watersheds, wildlife habitats, and other wildlands, recreation lands such as parks, trails, greenbelts, and other open space, and landscapes with locally unique features and areas identified by the state as deserving special protection.

(c) To encourage efficient development patterns by ensuring that any infrastructure associated with development, other than infill development, supports new development that does all of the following:

(1) Uses land efficiently.

(2) Is built adjacent to existing developed areas to the extent consistent with the priorities specified pursuant to subdivision (b).

(3) Is located in an area appropriately planned for growth.

(4) Is served by adequate transportation and other essential utilities and services.

(5) Minimizes ongoing costs to taxpayers.

APPENDIX G- HEALTHY COMMUNITIES

Promoting public health is one the objectives of the Strategic Growth Council which it balances with its other objectives to promote sustainable communities. To further understand what characterizes a Healthy Community, the Department of Public Health provides the following:

A healthy community is one that meets the basic needs of all residents, ensures quality and sustainability of the environment, provides for adequate levels of economic and social development; and assures social relationships that are supportive and respectful. A healthy community strives for the following through all stages of life:

Meets basic needs of all -

- Safe, sustainable, accessible and affordable transportation options
- Accessible and nutritious healthy foods
- Affordable, high quality, socially integrated and location-efficient housing
- Complete and livable communities including affordable and high quality schools, parks and recreational facilities, child care, libraries, financial services, health care and other daily needs

Quality, sustainability of the environment -

- Clean air, soil and water, and environments free of excessive noise
- Preserved natural and open spaces, including agricultural lands
- Minimized waste, toxics, and greenhouse gas emissions
- Affordable and sustainable energy use

Adequate levels of economic, social development -

- Living wage, safe and healthy job opportunities for all
- Support for healthy development of children and adolescents
- Opportunities for high quality and accessible education
- Health and social equity

Social relationships supportive, respectful -

- Robust social and civic engagement
- Socially cohesive and supportive relationships, families, homes, and neighborhoods
- Safe communities, free of crime and violence

APPENDIX H – APPLICATION CHECKLIST
(What to include)

Application packets should be organized in the following order. Clearly number and label each item and number all pages in sequential order. Do not put in folders or notebooks. Do not submit additional materials that have not been specifically requested. **Note: Incomplete applications may not be evaluated or considered for funding at the sole discretion of the State.**

Submit three (3) copies (one unbound original and two copies) of items 1 – 6:

- 1. Completed Application Form** (Appendix I)
- 2. Proposal Summary Statement** (Section XIII)
- 3. Proposal Description** (Section IX)
- 4. Supporting Documentation**
 - a. Budget** (Appendix L)
 - b. Work Plan** (Appendix M)
- 5. Signed Resolution from Governing Body** (Appendix N)
- 6. Collaboration Requirement Letter(s)** (Section III)
- 7. Disadvantaged Community Documentation** (See Glossary))

APPENDIX I- PLANNING GRANT APPLICATION FORM

Applicant (Agency & address - including zip)		Proposed Date of Completion:	
Check one		Grant Amount Requested: \$	
	City		If Joint Proposal, list participating entities/ contact person:
	County		
	MPO		
	COG		
	RTPA		
	JPA		
	Joint Proposal		
Lead Applicant's Name:			
Title of Proposal (summarize the deliverable to be funded by this grant)			
Applicant's Representative Authorized in Resolution Name: Title: Phone: Email:		Person with Day to Day Responsibility for Plan (if different from Authorized Representative) Name: Title: Phone: Email:	
<i>Check all of the following that are incorporated or applicable to the proposal:</i>			
Focus Area		Program Objectives	
	Focus Area # 1		Applying for 20% EDC set aside
	Focus Area # 2		
	Focus Area # 3		Improve air and water quality
Eligibility Requirements (mandatory)			Promote public health
	Consistent with State Planning Priorities		Promote equity
	Reduces GHG emissions on a permanent basis		Increase affordable housing
	Collaboration requirement		Increase infill and compact development
Priority Considerations			Revitalize urban and community centers
	Demonstrates collaboration & community involvement		Protect natural resources and agricultural lands
	Addresses climate change impacts		Reduce automobile usage and fuel consumption
	Serves as best practices		Improve infrastructure systems
	Leverages additional resources		Promote water conservation
	Serves an economically disadvantaged community		Promote energy efficiency and conservation
	Serves a severely disadvantaged community		Strengthen the economy
I certify that the information contained in this plan application, including required attachments, is complete and accurate Signature: _____ Applicant's Authorized Representative as shown in Resolution Date Print Name and Title: _____			

APPENDIX J– INDICATORS AND OUTCOMES
(Examples)

Program Objectives	Indicators (Data Point)	Desired Outcomes
Improve Air Quality and Water Quality	✓ Ozone Levels ✓ Particulate matter ✓ Impaired water segments	✓ Decrease ✓ Decrease ✓ Decrease
Promote Public Health	✓ Population with asthma ✓ Overweight/ obese population	✓ Decrease ✓ Decrease
Promote Equity	✓ Access to transportation ✓ Job growth compared to Job Quality (e.g., wage levels)	✓ Increase ✓ Improve
Increase Affordable Housing	✓ Housing supply that meets regional Housing Needs Allocation for extremely low, very low, and low income households ✓ Housing owners with costs greater than 35% of income	✓ Increase ✓ Decrease
Promote Infill and Compact Development	✓ Proportion of jobs and housing units within ½ mile of transit ✓ Housing units within X distance of transit with Y service	✓ Increase ✓ Decrease
Revitalize Urban and Community Centers	✓ Dollars spent serving infill development as opposed to Greenfield development	✓ Increase
Protect Natural Resources and Agricultural Lands	✓ Acres of protected and/or conserved lands ✓ Acres of habitat avoided	✓ Increase ✓ Increase
Reduce Automobile Usage and Fuel Consumption	✓ Transit ridership ✓ Vehicle miles traveled	✓ Increase ✓ Decrease
Improve Infrastructure Systems	✓ Transit Ridership ✓ Parks acres per residents	✓ Increase ✓ Increase
Promote Water Conservation	✓ Residential water usage ✓ Agriculture water usage	✓ Reduce ✓ Reduce
Promote Energy Efficiency and Conservation	✓ Residential energy consumption	✓ Decrease
Strengthen the Economy	✓ Number of green jobs ✓ Net business formation	✓ Increase ✓ Increase

APPENDIX K- EVALUATION QUESTIONS (Program Objectives)

Proposals should answer these questions to strengthen their Proposal Description.

EDC Set Aside Applications

1. How will the proposed project benefit the residents within the EDC?
2. What indicators will be used to measure benefits to the EDC?
3. How does the proposed project remove barriers to sustainability within the community?

Improve Air and Water Quality

Air Quality

1. What strategies (*see Glossary*) will be used to meet the air quality objective?
2. What indicator(s) will be used to measure the outcomes?
3. How will the proposal be consistent with the State Implementation Plan (*see Appendix O*), as specified by the local air district?

Water Quality

4. What strategies will be used to meet the water quality objective?
5. What indicator(s) will be used to measure the outcomes?
6. How will the proposal be consistent with the Integrated Regional Water Management Plan (*see Appendix O*)?

Promote Public Health

1. What indicators will be focused on to meet the public health objective?
2. Describe how the proposal addresses and responds to the definition of a healthy community (*see Appendix G*).
3. Describe how, in the development and implementation of the proposal, public health co-benefits and potential adverse health consequences will be identified, and for any identified negative consequences that may be associated with the Proposal, the approach to mitigating or preventing these consequences.
4. Describe the extent and nature of the coordination and collaboration with the local health officer/health department for the cities and counties included in your agency's jurisdiction or covered by the scope of the Proposal.

Promote Equity

4. What strategies will be used to meet the equity objective?
5. What indicator(s) will be used to measure the outcomes?
6. Explain how disadvantaged communities will be engaged in the planning process for the proposed outcomes.

Increases Housing Affordability

1. What strategies will be used to meet the housing affordability objective?
2. What indicator(s) will be used to measure the outcomes?
3. How will the proposal be consistent with housing affordability requirements under the RHNA (*see Appendix O*)?

Promote Infill and Compact Development

1. What strategies will be used to meet the infill and compact development objective?
2. What indicator(s) will be used to measure the outcomes?

Revitalize Urban and Community Centers

1. What strategies will be used to meet the urban and community center objective?
2. What indicator(s) will be used to measure the outcomes?

Protect Natural Resources and Agricultural Land

1. What strategies will be used to meet the natural resources and agricultural land objective?
2. What indicator(s) will be used to measure the outcomes?
3. How will the proposal be consistent with the California Wildlife Action Plan, Natural Community Conservation Plan and the Surface Mining and Reclamation Act (*see Appendix O*)?

Reduce Automobile Use and Fuel Consumption

1. What strategies will be used to meet the automobile use and fuel consumption objective?
2. What indicator(s) will be used to measure the outcomes?
3. How will the proposal be consistent with the California Transportation Plan (*see Appendix O*).

Improve Infrastructure Systems

1. What strategies will be used to meet the infrastructure systems objective?
2. What indicator(s) will be used to measure the outcomes?

Promote Water Conservation

1. What strategies will be used to meet the water conservation objective?
2. What indicator(s) will be used to measure the outcomes?
3. How will this proposal help the State achieve its goal to reduce water consumption 20 percent by 2020?
4. Explain how this proposal is consistent with the Integrated Regional Water Management Plans.

Promote Energy Efficiency and Conservation

1. What strategies will be used to meet the energy efficiency and conservation objective?
2. What indicator(s) will be used to measure the outcomes?

Strengthen the Economy

1. What strategies will be used to meet the economy objective?
2. What indicator(s) will be used to measure the outcomes?

APPENDIX L- Budget Form

All cost elements included should be clearly described in the Proposal.

Proposal Element (Example Only)		Total Cost \$	Council Grant \$	In-Kind Match \$	Cash Match \$
1 Administration					
	Staff				
	Consultant Contracting				
	Surveys				
Subtotal Task 1					
2 Proposal Development					
	Research				
	Drafting				
Subtotal Task 2					
3 Public Outreach					
	Announcements				
	Web posting costs				
	Public forum costs				
Subtotal Task 3					
4 Materials					
Subtotal Task 4					
GRAND TOTAL					

**Additional budget detail may be required upon award of funding

APPENDIX M- WORK PLAN

Work Plan

Lead Applicant:

Proposal Title:

High Level Activities/Milestones	Responsible Party	Proposed Start/End Dates

APPENDIX N – RESOLUTION TEMPLATE

Resolution No: _____

RESOLUTION (GOVERNING BODY OF GRANTEE)
APPROVING THE APPLICATION FOR GRANT FUNDS FOR
THE SUSTAINABLE COMMUNITIES PLANNING GRANT AND INCENTIVES PROGRAM UNDER THE SAFE
DRINKING WATER, WATER QUALITY AND SUPPLY, FLOOD CONTROL, RIVER AND COASTAL
PROTECTION
BOND ACT OF 2006 (PROPOSITION 84)

WHEREAS, the Legislature and Governor of the State of California have provided funds for the program shown above; and

WHEREAS, the Strategic Growth Council has been delegated the responsibility for the administration of this grant program, establishing necessary procedures; and

WHEREAS, said procedures established by the Strategic Growth Council require a resolution certifying the approval of application(s) by the Applicants governing board before submission of said application(s) to the State; and

WHEREAS, the applicant, if selected, will enter into an agreement with the State of California to carry out the development of the proposal.

NOW, THEREFORE, BE IT RESOLVED that the _____ (Governing Body)

1. Approves the filing of an application for the (name of the proposal) in order to become a sustainable community;
2. Certifies that applicant understands the assurances and certification in the application, and
3. Certifies that applicant or title holder will have sufficient funds to develop the Proposal or will secure the resources to do so, and
4. Certifies that the Proposal will comply with any applicable laws and regulations.
5. Appoints the (designate position, not person occupying position) _____, or designee, as agent to conduct all negotiations, execute and submit all documents including, but not limited to applications, agreements, payment requests and so on, which may be necessary for the completion of the aforementioned project(s).

Approved and adopted the _____ day of _____ 20____. I, the undersigned, hereby certify that the foregoing Resolution Number _____ was duly adopted by the _____.
(Governing Body)

Following Roll Call Vote: Ayes: _____
 Nos: _____
 Absent: _____

Clerk/Secretary for the Governing Board

APPENDIX O- REGIONAL OR STATE ADOPTED PLANS

The council wants to promote coordination between local, regional and state efforts. In order to achieve this, a Proposal must indicate consistency with, or exceed the minimums of, a regional plan or state adopted plan (*see Appendix M*), as applicable to its region and to the Proposal.

California Transportation Plan (Department of Transportation)

The California Transportation Plan (CTP) is a statewide, long-range transportation plan for meeting California's future mobility needs. The CTP defines goals, policies, and strategies to achieve a vision for California's future transportation system. This plan, with a minimum 20-year planning horizon, is prepared in response to federal and State requirements and is updated every five years. CTP 2025 was approved in 2006 and updated by an addendum in October 2007 to comply with new federal planning requirements governing development of the plan. The next CTP, which will have a 2040 horizon, will be enhanced with the addition of a California Interregional Blueprint (CIB). This new element will respond to the legislative requirements of SB 391 that require the CTP to articulate the State's vision for an integrated multimodal interregional transportation system that meets AB 32 goals and complements regional transportation plans and land use visions. <http://www.dot.ca.gov/hq/tpp/offices/osp/ctp.html>

California Wildlife Action Plan (Department of Fish and Game)

California Wildlife: Conservation Challenges, the State's wildlife action plan, was developed and produced as collaboration between the California Department of Fish and Game (DFG) and the Wildlife Health Center at the University of California, Davis. This report identifies species of habitats of greatest conservation need, the major stressors affecting native wildlife and habitats, and statewide and region-specific actions needed to restore and conserve California's wildlife.

Natural Community Conservation Plan: NCCP (Department of Fish and Game)

The primary objective of the NCCP program is to conserve natural communities at the ecosystem scale while accommodating compatible land use. The program seeks to anticipate and prevent the controversies and gridlock caused by species' listings by focusing on the long-term stability of wildlife and plant communities and including key interests in the process. Go to the following link to ensure that the proposal is consistent with any NCCP approved within your geographic jurisdiction: <http://www.dfg.ca.gov/habcon/nccp/status.html>

State Implementation Plan: SIPs (Air Resource Board)

SIPs are comprehensive plans that describe how an area will attain national ambient air quality standards (NAAQS). The 1990 amendments to the federal Clean Air Act set deadlines for attainment based on the severity of an area's air pollution problem. SIPs are not single documents. They are a compilation of new and previously submitted plans, programs (such as monitoring, modeling, permitting, etc.), district rules, state regulations and federal controls. Many of California's SIPs rely on the same core set of control strategies, including emission standards for cars and heavy trucks, fuel regulations and limits on emissions from consumer products.

The Surface Mining and Reclamation Act (Department of Conservation)

The Surface Mining and Reclamation Act (SMARA) encourage the production, conservation, and protection of the state's mineral resources. To address mineral resource conservation, SMARA mandated the classification-designation process. The objective of this process is to ensure, through appropriate local lead agency policies and procedures, that mineral materials will be available when needed and do not become inaccessible due to land-use decisions. Go to the following link and review maps to ensure that plans are consistent with any designated areas or areas of future designation: <http://www.doc.ca.gov>

Integrated Regional Water Management Plan

The Integrated Regional Water Management (IRWM) Plan is a comprehensive plan for a defined geographic area, with specific development, content, and adoption that complies with the California Water Code. An IRWM Plan is based on hydrologic boundaries rather than political boundaries, and describes the major water-related objectives and conflicts within a region, considers a broad variety of water management strategies, identifies the appropriate mix of water demand and supply management alternatives, water quality protections, and environmental stewardship actions to provide long-term high-quality water supply. An IRWM Plan is governed by the designated parties that form a Regional Water Management Group which must be comprised of three or more local agencies, at least two of which have statutory authority over water supply or management (as required by CWC Sec 10539), as well as other persons necessary for the development and implementation of an IRWM Plan. Disadvantaged communities and tribes are included as Stakeholders of the IRWM Plan and their water-related needs are taken into consideration and incorporated into the IRWM planning process. (California Water Code Sections 10534 and 10540-10543).

State Water Plan

The California Water Plan provides a framework for water managers, legislators, and the public to consider options and make decisions regarding California's water future. The Plan, which is updated every five years, presents basic data and information on California's water resources including water supply evaluations and assessments of agricultural, urban, and environmental water uses to quantify the gap between water supplies and uses.

RHNA

State housing element law (Government Code Section 65583 et. al.) requires the California Department of Housing and Community Development (HCD) to allocate the region's share of the statewide housing need to Councils of Governments (COG) based on Department of Finance population projections and regional population forecasts used in preparing regional transportation plans. The COG is required to develop a Regional Housing Need Plan (RHNP) allocating the region's share of the statewide need to cities and counties within the region. The RHNP should promote the following objectives:

1. Increase the housing supply and the mix of housing types, tenure, and affordability in all cities and counties within the region in an equitable manner;
2. Promote infill development and socioeconomic equity, the protection of environmental and agricultural resources, and the encouragement of efficient development patterns; and
3. Promote an improved intraregional relationship between jobs and housing.

APPENDIX P- ONLINE RESOURCES

For the following on-line additional resources, visit:

ARB Cool California: Local government assistance website

<http://www.coolcalifornia.org/article/climate-action-planning>

California Global Warming Solutions Act of 2006

http://www.leginfo.ca.gov/pub/05-06/bill/asm/ab_0001-0050/ab_32_bill_20060927_chaptered.pdf

Climate Adaptation Strategy:

<http://www.climatechange.ca.gov/adaptation/index.html>

Proposition 84 (Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006)

http://www.parks.ca.gov/pages/1008/files/prop_84_text.pdf

SB 732 - Strategic Growth Council Statute

http://www.leginfo.ca.gov/pub/07-08/bill/sen/sb_0701-0750/sb_732_bill_20080930_chaptered.pdf

State's Planning Priorities (Government Code 65041.1)

<http://leginfo.ca.gov/cgi-bin/waisgate?WAIIdocID=79891219925+0+0+0&WAIAction=retrieve>

Strategic Growth Council

<http://www.sgc.ca.gov>

California Transportation Plan

<http://www.dot.ca.gov/hq/tpp/offices/osp/ctp.html>

California Water Plan

<http://www.waterplan.water.ca.gov/cwpu2009/index.cfm>

Integrated Regional Water Management Plan (IRWMP)

<http://www.waterplan.water.ca.gov/cwpu2009/index.cfm>

Air Quality Improvement Plan

http://www.interiorhealth.ca/uploadedFiles/Information/Health_Service_Planning/AirQuality_Plan2007-2010.pdf

Air Quality Management Plan

<http://www.aqmd.gov/aqmp/aqmpintro.htm>

State Implementation Plan

<http://www.epa.gov/reg5oair/sips/>

Regional Water Quality Plan

http://www.swrcb.ca.gov/rwqcb9/water_issues/programs/basin_plan/index.shtml

http://www.waterboards.ca.gov/plans_policies/#plans

State Energy Plan

http://www.energy.ca.gov/energy_action_plan/

Preferred Loading Order

<http://www.energy.ca.gov/2005publications/CEC-400-2005-043/CEC-400-2005-043.PDF>

Local Health Offices:

<http://www.cdph.ca.gov/PROGRAMS/CCLHO/Pages/default.aspx>

Habitat Conservation Plans (HCP) - recognized by the California Department of Fish and Game.

<http://www.dfg.ca.gov/>

Mineral Designations and Minerals identified by the California Department of Conservation

<http://www.doc.ca.gov>

Conservation Easements approved by the Wildlife Conservation Board

<http://www.wcb.ca.gov/>

Conservation Easements approved by the Department of Conservation

<http://www.doc.ca.gov/dlrp>

Planning and Natural Resource Information CERES

<http://www.ceres.ca.gov>

Natural Community Conservation Plan Map

<http://www.dfg.ca.gov/habcon/nccp/status.html>